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Substitute for form 1449A/PTO (modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 2	<b>Application Number</b>	10/685208
	<b>Filing Date</b>	October 14, 2003
	<b>First Named Inventor</b>	Fan, Xudong
	<b>Confirmation Number</b>	4078
	<b>Attorney Case Number</b>	58392US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
RAR	A1	US- 3,833,284	09-03-1974	Kaminow et al.	
RAR	A2	US- 4,715,672	12-29-1987	Duguay et al.	
RAR	A3	US- 5,077,822	12-31-1991	Cremer	
RAR	A4	US- 6,512,866 B1	01-28-2003	Fan et al.	
RAR	A5	US- 6,594,425 B2	07-15-2003	Tapalian et al.	
RAR	A6	US- 6,668,111 B2	12-23-2003	Tapalian et al.	
RAR	A7	US- 6,895,135 B2	05-17-2005	Kaneko et al.	
RAR	A8	US- 2005/0105868 A1	05-19-2005	Arakida	
RAR	A9	US- 2005/0111309 A1	05-26-2005	Peng	
RAR	A10	US- 2005/0147372 A1	07-07-2005	Bourdelaïs et al.	
	A11	US-			
	A12	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-Kind Code (if known)				
	B1						
	B2						
	B3						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
RAR	C1	GARMIRE, E., et al., "Propagation Losses in Metal-Film-Substrate Optical Waveguides," <i>Journal of Quantum Electronics</i> , Vol. QE-8, No. 10, October 1972, pp. 763-766.	
RAR	C2	KAMINOW, I.P., et al., "Metal-Clad Optical Waveguides: Analytical and Experimental Study," <i>Applied Optics</i> , Vol. 13, No. 2, February 1974, pp. 396-405.	
RAR	C3	OTTO, A., et al., "Modification of the Total Reflection Modes in a Dielectric Film by One Metal Boundary," <i>Optics Communications</i> , Vol. 3, No. 4, June 1971, pp. 254-258.	
RAR	C4	REISINGER, A., "Attenuation Properties of Optical Waveguides with a Metal Boundary," <i>Appl. Phys. Lett.</i> , Vol. 23, No. 5, September 1, 1973, pp. 237-239.	

<b>*Examiner:</b> /Richard Rosenberger/	<b>Date Considered:</b> 08/18/2006
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement)

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RAR	C5	SUEMATSU, et al., "Fundamental Transverse Electric Field ( $TE_0$ ) Mode Selection for Thin-Film Asymmetric Light Guides," <i>Appl. Phys. Lett.</i> , Vol. 21, No. 6, September 15, 1972, pp. 291-293.	
RAR	C6	TIEN, P., et al., "Novel Metal-clad Optical Components and Method of Isolating High-Index Substrates for Forming Integrated Optical Circuits," <i>Appl. Phys. Lett.</i> , Vol. 27, No. 4, August 15, 1975, pp. 251-253.	
RAR	C7	YONEYAMA et al., "Nonradiative Dielectric Waveguide Circuit Components" <i>International Journal of Infrared and Millimeter Waves</i> , Vol. 4, No. 3, (1983), pp. 439-449.	

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